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## IN THE UNITED STATES DISTRICT COURT DISTRICT OF UTAH, CENTRAL DIVISION

# SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

VS.

AMERICAN PENSION SERVICES, INC., a Utah corporation, and CURTIS L. DeYOUNG, an individual,

Defendants.

NOTICE OF FILING RESPONSES TO PROPOSED PLAN OF LIQUIDATION AND CATEGORY DESIGNATION SPREADSHEET OF CLIENT RESPONSES IN CAMERA

Case No.: 2:14-CV-00309-RJS-DBP

Judge Robert J. Shelby Magistrate Judge Dustin B. Pead

Diane A. Thompson, Court-Appointed Receiver (the "Receiver") of American Pension Services, Inc. ("APS"), hereby submits this Notice of Filing Responses to Proposed Plan of Liquidation ("Responses") and Category Designation Spreadsheet of Responses ("Spreadsheet") *In Camera*.

The Responses and Spreadsheet contain confidential and personal information concerning APS clients. Specifically, the Responses themselves are not redacted and contain the APS client name and response to the Plan. In some cases, the Responses contain details about the APS client's investments. The Spreadsheet summarizes all Responses received and contains the APS client's name, account number, account balance as of April 25, 2014, cash balance as of April 25, 2014, and category to which the Response was designated by the Receiver. Due to the confidential, personal and sensitive information contained in the Responses and Spreadsheet, the Receiver is providing them to the Court *in camera*.

The Receiver also files concurrently herewith on the Court's electronic filing system a Summary of Responses to Receiver's Proposed Plan of Liquidation ("Summary"). The Summary does not contain any confidential, personal or sensitive information about APS clients and thus, is being filed electronically and is publically available.

DATED this 4<sup>th</sup> day of November 2014.

/s/ Melanie J. Vartabedian

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Thompson

#### **CERTIFICATE OF SERVICE**

I hereby certify that on the 4<sup>th</sup> day of November, 2014, I caused a true and correct copy of

### the foregoing NOTICE OF FILING RESPONSES TO PROPOSED PLAN OF

## LIQUIDATION AND CATEGORY DESIGNATION SPREADSHEET OF CLIENT

**RESPONSES** *IN CAMERA* to be delivered as follows:

[ X ] Through the CM/ECF System for the U.S. District Court
[ ] Hand Delivery
[ ] U.S. Mail, postage prepaid
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/s/ Lori D. Brown